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NOD. D.C. 2023I Www.uspto.gov

APPLICATION NUMBER

FA DIGRECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/045,122

11/09/2001

MAY 0 3 2002

Paul Stypulkowski

11738.00024

22908
BANNER & WITCOFF, LTD.
TEN SOUTH WACKER DRIVE
SUITE 3000
CHICAGO, IL 60606

CONFIRMATION NO. 2402
FORMALITIES LETTER
OC000000007555164

Date Mailed: 03/01/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/08/2002 NMOHAMM1 00000094 190733

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130.00 CH

15-07-02 PTO/SB/21 (08-00) Please type a plus sign (+) inside this box -> + Approved for use through 10/31/2002. OMB 0651-0831 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE MAY 0 3 2002 Latter the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Applicati n Number 10/045,122 TRANSMITTAL **Filing Date** November 9, 2001 **FORM First Named Inventor** Paul Stypulkowski (to be used for all correspondence after initial filing) Group Art Unit 3762 **Examiner Name** Not Assigned Total Number of Pages in This Submission Attorney Docket Number 11738.00024 ENCLOSURES (check all that apply) After Allowance Communication to Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Response Copy of Notice to File ☐ Terminal Disclaimer **Missing Parts of Nonprovisional** Express Abandonment Request Request for Refund Application (1 page) **Executed Declaration (3 pages)** ☐ Information Disclosure Statement CD, Number of CD(s) **Return Receipt Postcard** Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application

> Response to Missing Parts under 37 CFR 1.52 or 1.53

> > Binal J. Patel

Firm

Individual name

Signature

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

Signature

Date

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (10-01)

Approved for through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Of U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ARREST TO A NICHALTTAL	Complete if Known			
OPEE TRANSMITTAL	Application Number	10/045,122 November 9, 2001		
for FY 2002	Filing Date			
MAY 0 :3 2002 Patenthes are subject to annual revision.	First Named Inventor	Paul Stypulkowski		
Applicant claims small entity status. See 37 CFR 1.27	Examiner Name	n/a		
	Group / Art Unit	3762		
TOTAL AMOUNT OF PAYMENT (\$) 130	Attorney Docket No.	11738.00024		

METUOD OF DAVMENT (about all that apply)			ALOUR ATION (conditions d)				
METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued) 3. ADDITIONAL FEES					
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order	Large Entity Small Entity						
□ Deposit Account: □ Deposit Acc	Fee Fee Fee Fee Fee						
Deposit	1	Code	(\$)	Code	(\$)	Fee Description Fee Paid	
Account 19-0733		105	130	205	65	Surcharge - late filing fee or oath 130	
Number		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit Account Banner & Witcoff, Ltd.		139	130	139	130	Non-English specification	
		147	2,520	147	2,520	For filing a request for reexamination	
Name The Commissioner is authorized to: (check all that apply)		112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
☐ Charge fee(s) indicated below ☐ Credit any overpayments ☐ Charge any additional fee(s) during the pendency of this application		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
☐ Charge fee(s) indicated below, except for the filing fee		115	110	215	55	Extension for reply within first month	
to the above-identified deposit account. FEE CALCULATION					Extension for reply within second month		
1. BASIC FILING FEE		117	920	217	460	Extension for reply within third month	
Large Entity Small Entity		118	1,440	218	720	Extension for reply within fourth month	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$) Fee Paid		128	1,960	228	980	Extension for reply within fifth month	
Code (\$) Code (\$) Fee Paid	-	119	320	219	160	Notice of Appeal	
106 330 206 165 Design filling fee	-	120	320	220	160	Filing a brief in support of an appeal	
107 510 207 255 Plant filing fee	-	121	280	221	140	Request for oral hearing	
108 740 208 370 Reissue filing fee		138	1,510	138	1,510	Petition to institute a public use	
114. 160 214 80 Provisional filling fee	╛┆	140	110	240	55	Petition to revive – unavoidable	
SUBTOTAL (1) (\$) 0		141	1,280	241	640	Petition to revive – unintentional	
		142	1,280	242	640	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES		143	460	243	230	Design issue fee	
Extra Fee from Fee		144	620	244	310	Plant issue fee	
Claims below Paid Total Claims - ++ = 0 X = 0	٦ ا	122	130	122	130	Petitions to the Commissioner	
Independent	╡╽	123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
Claims = 0 X = 0	╛╽	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent X = 0	┚┃	581	40	581	40	Recording each patent assignment per property (times number of	
Large Entity Small Entity Fee Fee Fee Fee		146	740	246	370	properties)	
Code (\$) Code (\$) Fee Description						Filing a submission after final rejection (37 CFR § 1.129(a))	
103 18 203 9 Claims in excess of 20		149	740	249	370	For each additional invention to be	
102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not be						examined (37 CFR § 1.129(b))	
** Paissue independent claims ou		179	740	279	370	Request for Continued Examination (RCE)	
original patent		169 900 169 900 Request for expedited examination of a design application					
110 18 210 9 *** Reissue claims in excess of 20 over original patent	and	<u> </u>					
CLIDTOTAL (D) (A) O	ן ך	Other fee (specify)					
SUBTOTAL (2) (\$) 0	┚┃	*Reduced by Basic Filing Fee Paid SUBTOTAL (3)			aid SUBTOTAL (3) (\$) 130		
**or number previously paid, if greater; For Reissues, see above						(#) 150	

SUBMITTED BY Complete (if applicable						
Name (Print/Type)	Binal J. Patel	Registration No. Attorney/Agent)	42,065	Telephone	312.715.1000	
Signature		w 1/2/		Date	May 1, 2002	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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PATENT

HE UNITED STATES PATENT AND TRADEMARK OFFICE (Attorney Docket No. 11738.00024)

In the Applic	ation of:)	
Paul S	Stypulkowski)	
)	Group Art Unit: 2811
Serial No.:	10/045,122)	Examiner: n/a
Filing Date:	November 9, 2001)	Exammer. IVa
For: MUL	TIPLEXED ELECTRODE ARRAY EXTENSION)	

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed March 1, 2002, applicant hereby submits the enclosed executed Declaration.

Respectfully submitted,

Banner & Witcoff, Ltd.

Dated: May 1, 2002

Binal J. Patel Reg. No. 42,065



CERTIFICATE OF MAILING (PATENT APPLICATION)

Express Mail No. <u>EL941088307US</u>

Deposited: May 2, 2002

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

By:

Application of:

Paul Stypulkowski

Application No.:

10/045,122

Filing Date:

November 9, 2001

Title:

Multiplexed Electrode Array Extension

Transmitted herewith are the following documents:

- X Transmittal Form (1 page) in duplicate
- X Fee Transmittal (1 page) in duplicate
- X Response Copy of Notice to File Missing Parts of NonprovisionalApplication (1 page)
- X Response to Notice to File Missing Parts of Nonprovisional Application(1 page)
- X Executed Declaration (3 pages)
- X Return Postcard

Attorney Case No.: <u>11738.00024</u>